

**BrokerCheck Report**

**GEORGE BUSSANICH**

CRD# 4552414

Report #76540-26365, data current as of Monday, August 10, 2015.

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## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <http://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**Thank you for using FINRA BrokerCheck.**

**GEORGE BUSSANICH**

CRD# 4552414

This broker is not currently registered.

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications**

**This broker is not currently registered.**

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 1 General Industry/Product Exam
- 1 State Securities Law Exam

**Registration History**

**This broker was previously registered with the following securities firm(s):**

**KOVACK SECURITIES INC.**

CRD# 44848  
CLIFFSIDE PARK, NJ  
10/2006 - 12/2011

**NEWBRIDGE SECURITIES CORPORATION**

CRD# 104065  
CLIFFSIDE PARK, NJ  
08/2004 - 09/2006

**GRANITE SECURITIES, LLC**

CRD# 104255  
WOODBURY, NY  
06/2004 - 07/2004

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

**The following types of disclosures have been reported:**

Type	Count
Regulatory Event	3
Civil Event	1
Termination	1

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

**This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
General Securities Representative Examination	Series 7	04/22/2004

### State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination	Series 63	05/13/2004

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
10/2006 - 12/2011	KOVACK SECURITIES INC.	44848	CLIFFSIDE PARK, NJ
08/2004 - 09/2006	NEWBRIDGE SECURITIES CORPORATION	104065	CLIFFSIDE PARK, NJ
06/2004 - 07/2004	GRANITE SECURITIES, LLC	104255	WOODBURY, NY
04/2004 - 05/2004	FIRST MONTAUK SECURITIES CORP.	13755	RED BANK, NJ

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment Dates	Employer Name	Employer Location
10/2006 - Present	KOVACK SECURITIES, INC	FORT LAUDERDALE, FL
08/2004 - 09/2006	NEWBRIDGE SECURITIES	NEW YORK, NY

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

No information reported.



## Disclosure Events

### What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
  - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
  - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
3. **Disclosure events in BrokerCheck reports come from different sources:**
  - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
4. **There are different statuses and dispositions for disclosure events:**
  - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
    - A "pending" event involves allegations that have not been proven or formally adjudicated.
    - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" event has been concluded and its resolution is not subject to change.
  - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

**For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.**

	Pending	Final	On Appeal
Regulatory Event	0	3	0



Civil Event	1	0	0
Termination	N/A	1	N/A





## Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

### Regulatory - Final

This type of disclosure event may involves (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

#### Disclosure 1 of 3

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	NEW JERSEY BUREAU OF SECURITIES
<b>Sanction(s) Sought:</b>	Revocation
<b>Date Initiated:</b>	12/12/2013
<b>Docket/Case Number:</b>	2013-008
<b>URL for Regulatory Action:</b>	
<b>Employing firm when activity occurred which led to the regulatory action:</b>	KOVACK SECURITIES INC. (CRD #44848) AND METROPOLITAN AMBULATORY SURGICAL CENTER LLC
<b>Product Type:</b>	Promissory Note
<b>Allegations:</b>	BUSSANICH SOLD SECURITIES IN THE FORM OF FIVE (5) YEAR PROMISSORY NOTES OFFERING BETWEEN 6% AND 8% INTEREST ANNUALLY. BUSSANICH RAISED APPROXIMATELY \$3,500,000 FROM THE SALE OF THE NOTES TO APPROXIMATELY TWENTY-SIX (26) NEW JERSEY INVESTORS, THROUGH THE USE OF FALSE AND MISLEADING STATEMENTS, AND WITHOUT PROVIDING MATERIAL DISCLOSURES TO INVESTORS PRIOR TO THE SALE OF THE NOTES INCLUDING, BUT NOT LIMITED TO, THAT THEY WERE NOT REGISTERED WITH THE BUREAU TO SELL THE NOTES, THE NOTES WERE UNREGISTERED, AND THAT



INVESTOR FUNDS WOULD BE USED FOR PURPOSES OTHER THAN THOSE DISCLOSED TO THEM.

<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	12/12/2013
<b>Sanctions Ordered:</b>	Revocation Other: BUSSANICH IS DENIED ALL EXEMPTIONS CONTAINED IN N.J.S.A. 49:3-50 SUBSECTION (A) PARAGRAPH 9, 10, AND 11 AND SUBSECTION (B); AND THE EXEMPTIONS TO THE REGISTRATION REQUIREMENTS PROVIDED BY N.J.S.A. 49:3-56(B), N.J.S.A. 49:3-56(C) AND N.J.S.A. 49:3-56(G) ARE HEREBY DENIED.
<b>Regulator Statement</b>	BUSSANICH WILLFULLY VIOLATED OR WILLFULLY FAILED TO COMPLY WITH A PROVISION OF THE LAW. BUSSANICH ENGAGED IN DISHONEST OR UNETHICAL PRACTICES IN THE SECURITIES BUSINESS. BUSSANICH IS THE SUBJECT OF AN ORDER BY A SELF-REGULATORY ORGANIZATION EXPELLING HIM FROM A NATIONAL SECURITIES ASSOCIATION.

### Disclosure 2 of 3

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	FINRA
<b>Sanction(s) Sought:</b>	Other: N/A
<b>Date Initiated:</b>	12/03/2013
<b>Docket/Case Number:</b>	<a href="#">2013037970601</a>
<b>Employing firm when activity occurred which led to the regulatory action:</b>	KOVACK SECURITIES INC.
<b>Product Type:</b>	No Product
<b>Allegations:</b>	FINRA RULE 8210 - GEORGE BUSSANICH FAILED TO COMPLETELY



RESPOND TO A FINRA REQUEST FOR DOCUMENTS AND INFORMATION REGARDING ALLEGATIONS HE ENGAGED IN UNDISCLOSED OUTSIDE BUSINESS ACTIVITIES AND/OR PRIVATE SECURITIES TRANSACTIONS AWAY FROM HIS MEMBER FIRM, INCLUDING ONES INVOLVING FIRM CUSTOMERS. BUSSANICH FAILED TO RESPOND TO A FINRA REQUEST TO APPEAR FOR ON-THE-RECORD TESTIMONY.

**Current Status:** Final

**Resolution:** Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 12/03/2013

**Sanctions Ordered:** Bar (Permanent)

**If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?** No

**(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?**



**(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or**

**(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?**

**Sanction 1 of 1**

**Sanction Type:** Bar (Permanent)

**Capacities Affected:** ANY CAPACITY

**Duration:** N/A

**Start Date:** 12/03/2013

**End Date:**



**Regulator Statement** WITHOUT ADMITTING OR DENYING THE FINDINGS, BUSSANICH CONSENTED TO THE DESCRIBED SANCTION AND TO THE ENTRY OF FINDINGS; THEREFORE, HE IS BARRED FROM ASSOCIATION WITH ANY FINRA MEMBER IN ANY CAPACITY.

### Disclosure 3 of 3

**Reporting Source:** Regulator

**Regulatory Action Initiated By:** FINRA

**Sanction(s) Sought:** Other: N/A

**Date Initiated:** 07/05/2013

**Docket/Case Number:** [2012031107102](#)

**Employing firm when activity occurred which led to the regulatory action:** KOVACK SECURITIES INC.

**Product Type:** No Product

**Allegations:** FINRA RULES 2010, 3270, NASD RULES 2110, 3030: DURING A ROUTINE BRANCH AUDIT, BUSSANICH'S MEMBER FIRM DISCOVERED THAT HE WAS ENGAGED IN AN OUTSIDE BUSINESS ACTIVITY. BUSSANICH SUBSEQUENTLY ADMITTED TO WORKING FOR AND BEING COMPENSATED BY HIS FATHER'S PHYSICAL THERAPY BUSINESS THROUGHOUT THE ENTIRE DURATION OF HIS REGISTRATION AT THE FIRM SINCE 2006. BUSSANICH WAS REQUIRED BY THE FIRM TO COMPLETE ANNUAL COMPLIANCE ATTESTATIONS. THE ANNUAL COMPLIANCE ATTESTATIONS FROM 2010 AND 2011 REFLECT THAT BUSSANICH FAILED TO DISCLOSE HIS OUTSIDE BUSINESS ACTIVITIES. FURTHER, HIS FIRM'S WRITTEN SUPERVISORY PROCEDURES REQUIRED THAT ANY OUTSIDE BUSINESS ACTIVITY BE DISCLOSED AND APPROVED BY THE FIRM PRIOR TO A REGISTERED REPRESENTATIVE ENGAGING IN THAT BUSINESS ACTIVITY.

**Current Status:** Final

**Resolution:** Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No



**Resolution Date:** 07/05/2013  
**Sanctions Ordered:** Civil and Administrative Penalty(ies)/Fine(s)  
Suspension

**If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?**  
No

**(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?**

**(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or**



**(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?**

**Sanction 1 of 1**

**Sanction Type:** Suspension  
**Capacities Affected:** ANY CAPACITY  
**Duration:** 30 DAYS  
**Start Date:** 07/15/2013  
**End Date:** 08/13/2013

**Monetary Sanction 1 of 1**

**Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)  
**Total Amount:** \$5,000.00  
**Portion Levied against individual:** \$5,000.00  
**Payment Plan:**  
**Is Payment Plan Current:**  
**Date Paid by individual:**  
**Was any portion of penalty waived?** No

**Amount Waived:**

**Regulator Statement** WITHOUT ADMITTING OR DENYING THE FINDINGS, BUSSANICH



CONSENTED TO THE DESCRIBED SANCTIONS AND TO THE ENTRY OF FINDINGS, THEREFORE HE IS FINED \$5,000 AND SUSPENDED FROM ASSOCIATION WITH ANY FINRA MEMBER IN ANY CAPACITY FOR 30 DAYS. THE FINE SHALL BE DUE AND PAYABLE EITHER IMMEDIATELY UPON REASSOCIATION WITH A MEMBER FIRM FOLLOWING THE 30 DAY SUSPENSION, OR PRIOR TO ANY APPLICATION OR REQUEST FOR RELIEF FROM ANY STATUTORY DISQUALIFICATION RESULTING FROM THIS OR ANY OTHER EVENT OR PROCEEDING, WHICHEVER IS EARLIER. THE SUSPENSION IS IN EFFECT JULY 15, 2013 THROUGH AUGUST 13, 2013.





## Civil - Pending

This type of disclosure event involves a pending civil court action that seeks an injunction in connection with any investment-related activity or alleges a violation of any investment-related statute or regulation.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Initiated By:</b>	NEW JERSEY BUREAU OF SECURITIES
<b>Relief Sought:</b>	Civil and Administrative Penalty(ies)/Fine(s) Injunction Restitution Other: ASSET FREEZE, RECEIVERSHIP
<b>Date Court Action Filed:</b>	12/12/2013
<b>Date Notice/Process Served:</b>	12/13/2013
<b>Product Type:</b>	Promissory Note
<b>Type of Court:</b>	State Court
<b>Name of Court:</b>	SUPERIOR COURT OF NEW JERSEY, CHANCERY DIVISION: GENERAL EQUITY
<b>Location of Court:</b>	ESSEX COUNTY, NEW JERSEY
<b>Docket/Case #:</b>	ESX-C-277-13
<b>Employing firm when activity occurred which led to the action:</b>	METROPOLITAN AMBULATORY SURGICAL CENTER LLC
<b>Allegations:</b>	MAKING UNTRUE STATEMENTS OF A MATERIAL FACT OR OMITTING TO STATE A MATERIAL FACT NECESSARY IN ORDER TO MAKE THE STATEMENTS MADE IN LIGHT OF THE CIRCUMSTANCES UNDER WHICH THEY ARE MADE, NOT MISLEADING IN VIOLATION OF N.J.S.A. 49:3-52(B). ENGAGING IN ANY ACT, PRACTICE, OR COURSE OF BUSINESS WHICH OPERATES OR WOULD OPERATE AS A FRAUD OR DECEIT UPON ANY PERSON IN VIOLATION OF N.J.S.A. 49:3-52(C). ACTING AS AN AGENT IN THIS STATE WITHOUT REGISTRATION IN VIOLATION OF N.J.S.A. 49:3-56(A). SELLING UNREGISTERED SECURITIES IN VIOLATION OF N.J.S.A. 49:3-60. UNJUST ENRICHMENT.
<b>Current Status:</b>	Pending
<b>Limitations or Restrictions in Effect During Appeal:</b>	N/A
<b>Regulator Statement</b>	THIS CASE ARISES FROM THE SALE OF \$3,500,000.00 IN UNREGISTERED



SECURITIES BY UNREGISTERED AGENTS IN A FRAUDULENT MANNER BY DEFENDANTS GEORGE BUSSANICH AND OTHERS AND MISUSE OF AT LEAST \$3,372,660.60 OF THE \$3,500,000.00 OF INVESTOR FUNDS. FROM IN OR ABOUT MARCH 2009 THROUGH IN OR ABOUT JULY 2013, BUSSANICH AND OTHERS RAISED APPROXIMATELY \$3,500,000.00 FROM THE SALE OF UNREGISTERED PROMISSORY NOTES TO APPROXIMATELY TWENTY-SIX (26) NEW JERSEY RESIDENTS. BUSSANICH WAS NOT REGISTERED WITH THE BUREAU TO SELL THE NOTES. INVESTOR FUNDS WERE DEPOSITED INTO THE ISSUER'S BANK ACCOUNT AND COMMINGLED WITH OTHER FUNDS AND, UNBEKNOWNST TO INVESTORS, AT LEAST \$3,372,660.60 RAISED FROM THE SALE OF THE NOTES WAS MISUSED FOR: (A) THE PERSONAL EXPENSES (B) PURCHASE OF THREE (3) HOMES; (C) TRANSFERS AND/OR LOANS TO BUSSANICH FAMILY MEMBERS FOR THEIR PERSONAL USE; AND (D) THE PURCHASE OF LUXURY AUTOMOBILES USED BY THE BUSSANICH FAMILY MEMBERS FOR PERSONAL PURPOSES.



## Employment Separation After Allegations

This type of disclosure event involves a situation where the broker voluntarily resigned, was discharged, or was permitted to resign after being accused of (1) violating investment-related statutes, regulations, rules or industry standards of conduct; (2) fraud or the wrongful taking of property; or (3) failure to supervise in connection with investment-related statutes, regulations, rules, or industry standards of conduct.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Employer Name:</b>	KOVACK SECURITIES, INC.
<b>Termination Type:</b>	Discharged
<b>Termination Date:</b>	12/31/2011
<b>Allegations:</b>	FAILURE TO DISCLOSE OUTSIDE BUSINESS ACTIVITY
<b>Product Type:</b>	No Product

## End of Report



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